| SCRUTINY COMMISSION FOR HEALTH ISSUES | Agenda Item No. 8 |
|---------------------------------------|-------------------|
| 10 NOVEMBER 2009                      | Public Report     |

# Report of the Director of Adult Social Services

Report Author – Denise Radley Contact Details – 01733 758444

## PETERBOROUGH SAFEGUARDING ADULTS – JUNE 2009 TO AUGUST 2009

#### 1. PURPOSE

1.1 The purpose of this report is to ask the Scrutiny Commission to consider, challenge and comment on the quarterly report on adult safeguarding.

#### 2. RECOMMENDATION

2.1 That the Scrutiny Commission notes and comments on the quarterly report on adult safeguarding.

# 3. LINKS TO CORPORATE PLAN, SUSTAINABLE COMMUNITY STRATEGY AND LOCAL AREA AGREEMENT

3.1 Safeguarding vulnerable adults is at the heart of the Sustainable Community Strategy. Our ambition includes working to help the people of Peterborough "be protected from abuse, discrimination and harassment". The Local Area Agreement targets relating to vulnerable people have particular links to this area.

#### 4. PERFORMANCE

- 4.1 The alerts since the last report are attached at appendix 1 and, for the first time, information on the number of cases and the outcomes is attached at appendix 2.
- 4.2 Points of note from the data are:
  - Hospital referrals have increased.
  - High proportion of financial abuse, showing increased awareness amongst care staff, financial assessment officers and carers.

## 5. PETERBOROUGH SAFEGUARDING ADULTS BOARD

At its meeting on 14 August 2009, the Board's discussion included the following:

- 5.1 New Vetting and Barring Scheme these new Home Office procedures came into force on 12 October 2009 and will be rolled out over a five-year programme. The UK already has one of the most advanced systems in the world for checking those who work in positions of trust with children and vulnerable adults. The implementation of the new VBS will introduce further increased safeguards to prevent unsuitable people gaining access to vulnerable adults and children through work or volunteering, and builds on current legislation around pre and post employment checks. Additional safeguards include:
  - replacing the current Government lists under the Protection of Children's Act (PoCA),
    Protection of Vulnerable Adults Act (PoVA) and List 99. Introducing two main registers,
    one to cover working with children and one to cover working with vulnerable adults.

- the introduction of barring from regulated activities. People included on the new barred lists by the ISA will be barred from a far wider range of jobs and activities than previously, e.g. extending to people working with vulnerable adults in the NHS.
- placing a new duty to share information. Employers, social services and professional regulators will have to notify the ISA of all relevant information so individuals who pose a threat to vulnerable groups can be identified and barred from working with these groups.
- introduces new criminal offences from November 2010. Employers will commit an offence if they knowingly employ someone who is barred from working with vulnerable adults or children. It will also be a criminal offence for barred individuals to seek or undertake work with vulnerable groups.
- 5.2 <u>Establishment of budget</u> the Board agreed in principle to the establishment of a pooled budget to secure an officer dedicated to supporting, managing and developing the Board. This would allow a more focused approach to agenda planning, paper preparation and presentation, better reviewing and reporting and oversight of the action plan. The model would be similar to that in place in children's services and would comprise financial contributions from NHS Peterborough, Peterborough City Council, Cambridgeshire Constabulary, Cambridgeshire and Peterborough NHS Foundation Trust and Peterborough and Stamford Hospitals Foundation Trust.
- 5.3 <u>Serious case review</u> it was reported that all organisations had submitted their management review reports and the Independent Chair of the Serious Case Review Panel will present his final report to the Board at its meeting on 20 November 2009.

#### 6. SAFEGUARDING FORUM

- 6.1 Topics discussed at the Forum included:
  - A presentation from the Safer Peterborough Partnership Team focusing on domestic violence and the MARAC process.
  - A report and discussion abut the review of No Secrets.
  - General discussion about the safeguarding process, how this is progressing and some of the current issues for providers, such as being given sufficient feedback about the safeguarding referrals they make.

#### 7. RAISING AWARENESS OF SAFEGUARDING IN THE GENERAL PUBLIC

7.1 No specific work has been undertaken during this period with regard to raising awareness in the general public, although the new edition of the Community Care Directory includes a section on safeguarding and is given out to those looking for information or as part of a social work intervention.

#### 8. TRAINING

8.1 Training provided in the period 1 June 2009 – 31 August 2009 is listed below:

| Course title   | Length   | No. of participants |
|--|----------|---------------------|
| Safeguarding raising awareness, including induction sessions | 3 hours  | 142                 |
| Safeguarding raising awareness – bespoke sessions            | 3 hours  | 38                  |
| Safeguarding enhanced awareness                              | 7 hours  | 32                  |
| Leading safeguarding investigations                          | 14 hours | 38                  |
| Mental Capacity Act awareness                                | 3 hours  | 87                  |
| Mental Capacity Act – bespoke sessions                       | 3 hours  | 38                  |

| Mental Capacity Act – in depth (now capacity assessment)       | 7 hours | 42 |
|--|---------|----|
| Deprivation of Liberty for registered managers, nurses, social | 3 hours | 90 |
| workers and other care staff                                   |         |    |

# 9. EXPECTED OUTCOMES

9.1 The Scrutiny Commission is asked to note and discuss the content of the report.

# 10. NEXT STEPS

10.1 Safeguarding adults reports will be submitted to the Scrutiny Commission on a quarterly basis.

# 11. BACKGROUND DOCUMENTS

Used to prepare this report, in accordance with the Local Government (Access to Information) Act 1985

None.

# CONCERNS, SUSPICIONS OR ALLEGATIONS OF ABUSE REPORTED JUNE 2009 – AUGUST 2009

|                               | June<br>2009 | July<br>2009 | August<br>2009 |
|-------------------------------|--------------|--------------|----------------|
| TOTAL NUMBER OF ALERTS        | 41           | 47           | 34             |
| Age breakdown                 |              |              |                |
| 18 to 30                      | 2            | 8            | 3              |
| 31 to 45                      | 10           | 3            | 5              |
| 46 to 64                      | 6            | 12           | 10             |
| 65 to 79                      | 4            | 8            | 6              |
| 80+                           | 19           | 16           | 10             |
| Unknown                       | -            | 0            | 0              |
| Whereabouts at time of alert  |              |              |                |
| Own home                      | 21           | 25           | 13             |
| Care home                     | 13           | 9            | 9              |
| Hospital                      | -            | 3            | 2              |
| Other                         | 7            | 7            | 8              |
| Unknown as yet                |              | 3            | 2              |
| Gender                        |              |              |                |
| Female                        | 30           | 28           | 16             |
| Male                          | 10           | 19           | 18             |
| Unknown as yet                | 1            | 0            | 0              |
| Ethnic origin                 |              |              |                |
| White British                 | 34           | 37           | 27             |
| Other white                   | 1            | 3            | 4              |
| Pakistani                     | 2            | 2<br>2       | 2              |
| Other Asian                   | -            | 2            | 0              |
| Unknown as yet/not recorded   | 4            | 3            | 1              |
| Vulnerable adult client group |              |              |                |
| Physical disability           | 8            | 7            | 3              |
| Mental health                 | 7            | 3            | 2              |
| Learning disability           | 8            | 11           | 12             |
| Frailty and temporary illness | 13           | 21           | 12             |
| Dementia                      | 5            | 4            | 2              |
| Other vulnerable people       | -            | 0            | 2              |
| Unknown/not recorded          | -            | 1            | 1              |
| Visual Impairment             |              | 0            | 0              |
| Self funding                  |              | _            |                |
| Yes                           | 4            | 0            | 1              |
| No                            | 33           | 46           | 32             |
| Not known/not recorded        | 4            | 1            | 1              |
| Funded by another authority   | -            | 3            | 1              |
| Type of abuse                 | _            |              |                |
| Financial                     | 5            | 8            | 6              |
| Neglect                       | 2            | 5            | 7              |
| Physical                      | 24           | 22           | 13             |
| Discriminatory                | 0            | 0            | 0              |
| Sexual                        | 0            | 3            | 2              |
| Emotional                     | 6            | 8            | 4              |
| Psychological                 | 4            | 0            | 1              |

During the period 1 June to 31 August 2009, in addition to the above 122 alerts, there were also 78 calls into the Safeguarding Team that did not result in progression into a full investigation process as they did not meet the criteria for safeguarding but required some advice, guidance or clarification work to be given/undertaken by the Safeguarding Team.

This equates to about a 40/60 split of work that is dealt with directly by the Safeguarding Team compared to the work that gets allocated to community teams for further on-going safeguarding work.

#### NUMBER OF CASES AND OUTCOMES

#### June - August 2009

- 1. It is only possible to identify substantiated/partially substantiated/unsubstantiated, unresolved and not proceed cases once the work is completed on them. There have been closures and checklists that confirm:
  - 6 substantiated allegations
  - 6 unsubstantiated allegations
  - 3 partially substantiated
  - 2 unresolved
  - 9 not proceed
- 2. Outcomes have been able to be identified from case recording as well as completed checklists. Outcomes to date have been:
  - Change of placement
  - Appointeeship arrangements put in place and improved financial support
  - Increased contract monitoring
  - Criminal conviction and reporting to POVA scheme
  - Court of Protection arrangements
  - Updated care plans
  - Change of agency
  - Improved procedures in a care home
  - Improved monitoring of recording and clarity of reporting arrangements in both care agencies and care homes
  - Improved clarity of procedures were made on an acute ward
  - Improved training for staff
- 3. The main category of high numbers of alerts is still older people in the community and those people with a learning disability.
- 4. The unresolved cases have been where a person with capacity did not wish to proceed at all having understood the risks, or where a person did not meet the criteria as a vulnerable person under Peterborough Safeguarding Procedures. There have been cases where a person has died and the death was unrelated to the safeguarding incident and the case has subsequently been closed.